



National Yacht Brokers Certification Program Application Form

The following to be filled out by Applicant:

Applicant name: _____ Title: _____

Brokerage firm name: _____ Initial date of employment with current firm: _____

Applicant's mailing address: _____

City: _____ State: _____ Zip: _____

Telephone: _____ Fax: _____ E-mail: _____

Association membership (check one): FYBA NYBA YBAA Other _____ None
Membership in FYBA, NYBA or YBAA entitles applicant to a reduced certification exam fee

Total number of years that the applicant has been engaged in the full-time business of yacht brokerage: _____

Other Brokerage firms for whom applicant was employed:

1. Company: _____ Principal/Owner: _____

Years Employed: _____ Phone: _____

2. Company: _____ Principal/Owner: _____

Years Employed: _____ Phone: _____

3. Company: _____ Principal/Owner: _____

Years Employed: _____ Phone: _____

The following to be filled out by principal/owner of current brokerage firm:

Principal/Owner name: _____ Title: _____

Brokerage firm's name: _____ Year founded: _____

Brokerage firm's mailing address: _____

City: _____ State: _____ Zip: _____

Telephone: _____ Fax: _____

E-mail: _____ Brokerage firm's web site: _____

CPYB Requirements

The applicant, and, where appropriate, the brokerage firm with whom the applicant is currently employed, meets or agrees to comply with the following:

1. The applicant has, at a minimum, worked for the past three consecutive years, and is currently working, in the business of yacht sales.
2. The applicant has not been convicted of a Felony within the previous seven years.
3. The brokerage firm/broker of record maintains a dedicated escrow/trust account that is utilized for all client funds in trust.

4. The brokerage firm/applicant currently hold all necessary license(s) required by all applicable governmental entities.
5. All client payments are made payable to the brokerage firm/broker of record and not to individual brokers.
6. All client transactions are supported by fully executed, contractually sound written agreements, affording protection to both the buyer and seller.
7. All business conducted by the applicant complies in full with the CPYB Code of Ethics.
8. The applicant has not been found in violation of any yacht broker association's code of ethics within the previous seven years.

Statement of Applicant

I have read the CPYB requirements and the CPYB Code of Ethics and I attest that I, and the brokerage firm for which I am employed, do and will comply with the stated requirements and Code of Ethics. The National Yacht Brokers Certification Program reserves the right to revoke any issued CPYB certification if it is determined that:

- 1) the applicant is, or becomes, in violation of any of the stated CPYB Requirements, or
- 2) the applicant provided false or misleading information on the Application Form.

I hereby attest that the information provided herein is accurate and truthful to the best of my knowledge.

Print Name: _____

Signature: _____ Date: _____

Statement of Principal

I have reviewed the applicant's information provided on this application and have read the CPYB requirements necessary for the applicant to take the CPYB exam.

I hereby attest that the information provided by the applicant is accurate and truthful to the best of my knowledge and that this brokerage firm meets and supports the criteria necessary for the applicant to conduct business as a Certified Professional Yacht Broker.

Print Name: _____ Brokerage Firm Name: _____

Signature: _____ Date: _____

Payment Information:

A non-refundable application fee of \$25 is required with this completed application form. Eligible applicants must take the CPYB exam within six months of the application date. An exam fee is due upon the scheduling of your exam and must be received no later than two weeks before your scheduled exam date. The exam fee qualifies the applicant for one retest within six months of the date the first exam was taken if a passing score is not achieved on the first attempt.

Fees:

Application fee: — \$25 - due at the time of application (non-refundable)

Exam fee: — \$200 - for members of FYBA, NYBA and YBAA
\$750 - for non-members

Enclosed is my check for: *(Please make checks payable to YBAA-CPYB)*

Charge to my: Visa MasterCard American Express

Credit Card Number: _____ Expiration Date: _____

Card Holder Name: _____ Signature: _____

Please return application, attachments and payments to:

National Yacht Broker Certification Program
105 Eastern Avenue, Suite 104
Annapolis, MD 21403
Phone: (410) 263-1014
Fax: (410) 263-1659

March 26, 2002

CPYB SPONSORS

Please thank the following firms for their support as Gold Sponsors of the National Yacht Broker Certification program. The CPYB program was made possible in part from their generous financial assistance and in-kind support.

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